

02/12/01
11040 U.S. PTO

02-13-01

Attorney Docket No. 0980/62251-C

Total Pages 3

Express Mail Label No. EL628789029US

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Shih-Yuan Wang

Inventor(s)

for

MICROSTRUCTURED OPTICAL FIBER

TRANSFORMER ELEMENT AND METHOD OF FABRICATION

Title of Invention

11040 U.S. PTO
09/18/01
10/12/01

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 26 including:
 - a. 8 pages of claims (40 claims)
 - b. 1 page(s) Abstract
2. X 9 sheets of informal X formal drawings (Figs. 1-9B)
3. X Oath or declaration of Applicant(s) (2 total pages)
 - a. Newly executed (original)
 - b. X Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment of the invention to (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (when there is an assignee)
9. X Power of Attorney
 - a. Newly executed (original)
 - b. X Unexecuted
 - c. Copy from a prior application

09781341 021201

10. ___ Information Disclosure Statement (IDS)/PTO-1449
___ Copies of IDS Citations
11. ___ A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. X Applicants hereby establish small entity status under 37 C.F.R. §1.9 and §1.27
14. X If a Continuing Application, check the appropriate box and supply the requisite information:
- ☐ Continuation of prior application No.: _____ filed _____
- ☐ Divisional of prior application No.: _____ filed _____
- ☒ Continuation-in-part (CIP) of prior application No.: 09/591,474 filed June 9, 2000
15. ___ Amend the specification by inserting before the first line of page one: —This is a ___ continuation
___ divisional of application Serial No. _____ filed _____—
16. ___ Priority of application No. _____ filed in _____ on _____. Applicant hereby claims
priority under 35 U.S.C. § 119.
- ___ A certified copy of priority application No. _____ is enclosed
- ___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____
17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	40 - 20	=	20	x	\$ 9	\$ 18	=	\$ 180	\$ 0
Indep. Claims	5 - 3	=	1	x	\$ 40	\$ 80	=	\$ 40	\$ 0
Multiple Dependent Claims Presented: Yes ____ No <u>X</u>					\$135	\$270	=	\$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 355	\$ 710
					Total Fee			\$ 575	\$

CORRESPONDENCE ADDRESS:

Ivan S. Kavrukov
Registration No. 25,161
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of Americas
Tel.: (212) 278-0400
Fax: (212) 391-0525

Respectfully submitted,

Juan S. Carrasco

February 12, 2001
Date

Ivan S. Kavrukov, Reg. No. 25,161
Attorney for Applicants
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Shih-Yuan Wang

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : MICROSTRUCTURED OPTICAL FIBER TRANSFORMER
ELEMENT AND METHOD OF FABRICATION

1185 Avenue of the Americas
New York, N.Y. 10036

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

Express Mail mailing label number: EL628789029US

Date of Deposit: February 12, 2001

I hereby certify that the above-identified application (consisting of a 26-page specification (including 8 pages of claims (40 claims) and a 1 page Abstract), and 9 sheets of formal drawings (Figs. 1-9B)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, and a check for the \$575 small entity filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Mail Room Employee
Depositing Express Mail Material

Attorney for Applicants:
IVAN S. KAVRUKOV, Reg. No. 25,161
Cooper & Dunham LLP
Tel: (212) 278-0400

RECEIVED TELETYPE